

## Language of FOL: Grammar

Sentence	::=	AtomicS   ComplexS
AtomicS	::=	<b>True</b>   <b>False</b>   RelationSymb(Term, ...)   Term = Term
ComplexS	::=	(Sentence)   Sentence Connective Sentence   $\neg$ Sentence   Quantifier Sentence
Term	::=	FunctionSymb(Term, ...)   ConstantSymb   Variable
Connective	::=	$\wedge$   $\vee$   $\Rightarrow$   $\Leftrightarrow$
Quantifier	::=	$\forall$ Variable   $\exists$ Variable
Variable	::=	$a$   $b$   ...   $x$   $y$   ...
ConstantSymb	::=	$A$   $B$   ...   <i>John</i>   0   1   ...   $\pi$   ...
FunctionSymb	::=	$F$   $G$   ...   <i>Cosine</i>   <i>Height</i>   <i>FatherOf</i>   $+$   ...
RelationSymb	::=	$P$   $Q$   ...   <i>Red</i>   <i>Brother</i>   <i>Apple</i>   $>$   ...

## 22c:145 Artificial Intelligence

### First-Order Logic

Readings: Chapter 8 of Russell & Norvig.

## A common mistake to avoid

Typically,  $\Rightarrow$  is the main connective with  $\forall$

Common mistake: using  $\wedge$  as the main connective with  $\forall$ :

$$\forall x \text{ At}(x, UIowa) \wedge \text{Smart}(x)$$

means “Everyone is at Ulowa and everyone is smart”

## Universal quantification

$\forall \langle \text{variables} \rangle \langle \text{sentence} \rangle$

Everyone at Ulowa is smart:

$$\forall x \text{ At}(x, UIowa) \Rightarrow \text{Smart}(x)$$

$\forall x P(x)$  is true in an interpretation  $m$  iff  $P(x)$  is true with  $x$  being each possible object in the domain

Roughly speaking, equivalent to the conjunction of instantiations of  $P$

$$\text{At}(\text{KingJohn}, UIowa) \Rightarrow \text{Smart}(\text{KingJohn})$$

$$\wedge \text{At}(\text{Richard}, UIowa) \Rightarrow \text{Smart}(\text{Richard})$$

$$\wedge \text{At}(UIowa, UIowa) \Rightarrow \text{Smart}(UIowa)$$

$$\wedge \dots$$

## Another common mistake to avoid

Typically,  $\wedge$  is the main connective with  $\exists$

Common mistake: using  $\implies$  as the main connective with  $\exists$ :

$$\exists x \text{ At}(x, \text{Stanford}) \implies \text{Smart}(x)$$

is true if there is anyone who is not at Stanford!

## Existential quantification

$$\exists \langle \text{variable} \rangle \langle \text{sentence} \rangle$$

Someone at Stanford is smart:

$$\exists x \text{ At}(x, \text{Stanford}) \wedge \text{Smart}(x)$$

$\exists x P$  is true in an interpretation  $I$  iff  $P$  is true with  $x$  being *some* possible object in the domain

Roughly speaking, equivalent to the disjunction of instantiations of  $P$

$$\begin{aligned} & \text{At}(\text{KingJohn}, \text{Stanford}) \wedge \text{Smart}(\text{KingJohn}) \\ \vee & \text{At}(\text{Richard}, \text{Stanford}) \wedge \text{Smart}(\text{Richard}) \\ \vee & \text{At}(\text{Stanford}, \text{Stanford}) \wedge \text{Smart}(\text{Stanford}) \\ \vee & \dots \end{aligned}$$

## Fun with sentences

Brothers are siblings

$$\forall x, y \text{ Brother}(x, y) \implies \text{Sibling}(x, y).$$

“Sibling” is symmetric

## Properties of quantifiers

$\forall x \forall y$  is the same as  $\forall y \forall x$  (why??)

$\exists x \exists y$  is the same as  $\exists y \exists x$  (why??)

$\exists x \forall y$  is not the same as  $\forall y \exists x$

$$\exists x \forall y \text{ Loves}(x, y)$$

“There is a person who loves everyone in the world”

$$\forall y \exists x \text{ Loves}(x, y)$$

“Everyone in the world is loved by at least one person”

Quantifier duality: each can be expressed using the other

$$\forall x \text{ Likes}(x, \text{IceCream}) \quad \neg \exists x \neg \text{Likes}(x, \text{IceCream})$$

$$\exists x \text{ Likes}(x, \text{Broccoli}) \quad \neg \forall x \neg \text{Likes}(x, \text{Broccoli})$$

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“Sibling” is symmetric

$\forall x, y \text{ Sibling}(x, y) \Leftrightarrow \text{Sibling}(y, x).$

One’s mother is one’s female parent

$\forall x, y \text{ Mother}(x, y) \Leftrightarrow (\text{Female}(x) \wedge \text{Parent}(x, y)).$

A first cousin is a child of a parent’s sibling

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## Equality

$term_1 = term_2$  is true under a given interpretation  
if and only if  $term_1$  and  $term_2$  refer to the same object

E.g.,  $1 = 2$  and  $\forall x \times(\text{Sqrt}(x), \text{Sqrt}(x)) = x$  are satisfiable  
 $2 = 2$  is valid

E.g., definition of (full) *Sibling* in terms of *Parent*:

$\forall x, y \text{ Sibling}(x, y) \Leftrightarrow [\neg(x = y) \wedge \exists m, f \neg(m = f) \wedge$

$\text{Parent}(m, x) \wedge \text{Parent}(f, x) \wedge \text{Parent}(m, y) \wedge \text{Parent}(f, y)]$

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A first cousin is a child of a parent’s sibling

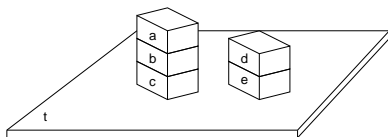
$\forall x, y \text{ FirstCousin}(x, y) \Leftrightarrow \exists p, ps \text{ Parent}(p, x) \wedge$   
 $\text{Sibling}(ps, p) \wedge \text{Parent}(ps, y)$

# An Interpretation $\mathcal{A}$ in the Blocks World

Constant Symbols:  $A, B, C, D, E, T$

Function Symbols:  $Support$

Relation Symbols:  $On, Above, Clear$



$$A^{\mathcal{A}} = a, B^{\mathcal{A}} = b, C^{\mathcal{A}} = c, D^{\mathcal{A}} = d, E^{\mathcal{A}} = e, F^{\mathcal{A}} = f, T^{\mathcal{A}} = t$$

$$Support^{\mathcal{A}} = \{\langle a, b \rangle, \langle b, c \rangle, \langle c, t \rangle, \langle d, e \rangle, \langle e, t \rangle, \langle t, t \rangle\}$$

$$On^{\mathcal{A}} = \{\langle a, b \rangle, \langle b, c \rangle, \langle d, e \rangle\}$$

$$Above^{\mathcal{A}} = \{\langle a, b \rangle, \langle a, c \rangle, \langle b, c \rangle, \langle d, e \rangle\}$$

$$Clear^{\mathcal{A}} = \{\langle a \rangle, \langle d \rangle\}$$

# Semantics of First-Order Logic

(A little) more formally:

An **interpretation** is a pair  $(\mathcal{D}, \sigma)$  where

- $\mathcal{D}$  is a set of objects, the universe (or *domain*);
- $\sigma$  is mapping from variables to objects in  $\mathcal{D}$ ;
- $C^{\mathcal{D}}$  is an object in  $\mathcal{D}$  for every constant symbol  $C$ ;
- $F^{\mathcal{D}}$  is a function from  $\mathcal{D}^n$  to  $\mathcal{D}$  for every function symbol  $F$  of arity  $n$ ;
- $R^{\mathcal{D}}$  is a relation over  $\mathcal{D}^n$  for every relation symbol  $R$  of arity  $n$ ;

## Models for FOL: Lots!

We *can* enumerate the models for a given FOL sentence:

- For each number of universe elements  $n$  from 1 to  $\infty$
- For each  $k$ -ary predicate  $P_k$  in the sentence
- For each possible  $k$ -ary relation on  $n$  objects
- For each constant symbol  $C$  in the sentence
- For each one of  $n$  objects mapped to  $C$
- ...

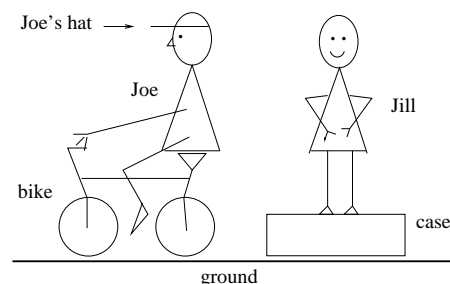
Enumerating models is not going to be easy!

## A different interpretation: $\mathcal{B}$

Constant Symbols:  $A, B, C, D, E, T$

Function Symbols:  $Support$

Relation Symbols:  $On, Above, Clear$



$$A^{\mathcal{B}} = \text{Joe's hat}, B^{\mathcal{B}} = \text{Joe}, C^{\mathcal{B}} = \text{bike}, D^{\mathcal{B}} = \text{Jill}, E^{\mathcal{B}} = \text{case}, T^{\mathcal{B}} = \text{ground}$$

$$Support^{\mathcal{B}} = \{\langle \text{Joe's hat}, \text{Joe} \rangle, \langle \text{Joe}, \text{bike} \rangle, \langle \text{bike}, \text{ground} \rangle, \langle \text{Jill}, \text{case} \rangle, \langle \text{case}, \text{ground} \rangle, \langle \text{ground}, \text{ground} \rangle\}$$

$$On^{\mathcal{B}} = \{\langle \text{Joe's hat}, \text{Joe} \rangle, \langle \text{Joe}, \text{bike} \rangle, \langle \text{Jill}, \text{case} \rangle\}$$

$$Above^{\mathcal{B}} = \{\langle \text{Joe's hat}, \text{Joe} \rangle, \langle \text{Joe's hat}, \text{bike} \rangle, \dots\}$$

$$Clear^{\mathcal{B}} = \{\langle \text{Jill} \rangle \text{ (no hat)}, \langle \text{Joe's hat} \rangle\}$$

## Example

- Consider the symbols  $MotherOf$ ,  $SchoolOf$ ,  $Bill$  and the interpretation  $(\mathcal{D}, \sigma)$  where

$MotherOf^{\mathcal{D}}$  is a unary fn mapping people to their mother  
 $SchoolOf^{\mathcal{D}}$  is a unary fn mapping people to their school  
 $FchildOf^{\mathcal{D}}$  is a binary fn mapping a couple to their first child  
 $Bill^{\mathcal{D}}$  is Bill Clinton  
 $\sigma := \{x \mapsto \text{Chelsea Clinton}, y \mapsto \text{Hillary Clinton}\}$

- What is the meaning of  $MotherOf(x)$  according to  $(\mathcal{D}, \sigma)$ ?

$$\llbracket MotherOf(x) \rrbracket_{\sigma}^{\mathcal{D}} = \llbracket MotherOf \rrbracket_{\sigma}^{\mathcal{D}}(\llbracket x \rrbracket_{\sigma}^{\mathcal{D}}) = MotherOf^{\mathcal{D}}(\sigma(x)) = \text{Hillary Clinton}$$

- What is the meaning of  $SchoolOf(FchildOf(y, Bill))$ ?

$$\llbracket SchoolOf(FchildOf(y, Bill)) \rrbracket_{\sigma}^{\mathcal{D}} = SchoolOf^{\mathcal{D}}(FchildOf^{\mathcal{D}}(\sigma(y), Bill^{\mathcal{D}})) = \text{Stanford}$$

## Semantics of First-Order Logic

- Let  $(\mathcal{D}, \sigma)$  be an interpretation and  $E$  an expression of FOL. We write  $\llbracket E \rrbracket_{\sigma}^{\mathcal{D}}$  to denote the *meaning of  $E$  in the domain  $\mathcal{D}$  under the variable assignment  $\sigma$* .
- The meaning  $\llbracket t \rrbracket_{\sigma}^{\mathcal{D}}$  of a term  $t$  is an object of  $\mathcal{D}$ . It is inductively defined as follows.

$$\begin{aligned} \llbracket x \rrbracket_{\sigma}^{\mathcal{D}} &:= \sigma(x) && \text{for all variables } x \\ \llbracket C \rrbracket_{\sigma}^{\mathcal{D}} &:= C^{\mathcal{D}} && \text{for all constant symbols } C \\ \llbracket F(t_1, \dots, t_n) \rrbracket_{\sigma}^{\mathcal{D}} &:= F^{\mathcal{D}}(\llbracket t_1 \rrbracket_{\sigma}^{\mathcal{D}}, \dots, \llbracket t_n \rrbracket_{\sigma}^{\mathcal{D}}) && \text{for all function symbols } F \\ &&& \text{of arity } n \end{aligned}$$

## Semantics of First-Order Logic

- The meaning of formulas built with the other logical symbols can be defined by reduction to the previous symbols.

$$\begin{aligned} \llbracket \varphi_1 \wedge \varphi_2 \rrbracket_{\sigma}^{\mathcal{D}} &:= \llbracket \neg(\neg\varphi_1 \vee \neg\varphi_2) \rrbracket_{\sigma}^{\mathcal{D}} \\ \llbracket \varphi_1 \Rightarrow \varphi_2 \rrbracket_{\sigma}^{\mathcal{D}} &:= \llbracket \neg\varphi_1 \vee \varphi_2 \rrbracket_{\sigma}^{\mathcal{D}} \\ \llbracket \varphi_1 \Leftrightarrow \varphi_2 \rrbracket_{\sigma}^{\mathcal{D}} &:= \llbracket (\varphi_1 \Rightarrow \varphi_2) \wedge (\varphi_2 \Rightarrow \varphi_1) \rrbracket_{\sigma}^{\mathcal{D}} \\ \llbracket \forall x \varphi \rrbracket_{\sigma}^{\mathcal{D}} &:= \llbracket \neg \exists x \neg \varphi \rrbracket_{\sigma}^{\mathcal{D}} \end{aligned}$$

- If a sentence is closed (no free variables), its meaning *does not depend* on the the variable assignment (although it may depend on the domain):

$$\llbracket \forall x \exists y R(x, y) \rrbracket_{\sigma}^{\mathcal{D}} = \llbracket \forall x \exists y R(x, y) \rrbracket_{\sigma'}^{\mathcal{D}} \quad \text{for any } \sigma, \sigma'$$

## Semantics of First-Order Logic

- The meaning  $\llbracket \varphi \rrbracket_{\sigma}^{\mathcal{D}}$  of a formula  $\varphi$  is either *True* or *False*.
- It is inductively defined as follows.

$$\begin{aligned} \llbracket t_1 = t_2 \rrbracket_{\sigma}^{\mathcal{D}} &:= \text{True} && \text{iff } \llbracket t_1 \rrbracket_{\sigma}^{\mathcal{D}} \text{ is the same as } \llbracket t_2 \rrbracket_{\sigma}^{\mathcal{D}} \\ \llbracket R(t_1, \dots, t_n) \rrbracket_{\sigma}^{\mathcal{D}} &:= \text{True} && \text{iff } \langle \llbracket t_1 \rrbracket_{\sigma}^{\mathcal{D}}, \dots, \llbracket t_n \rrbracket_{\sigma}^{\mathcal{D}} \rangle \in R^{\mathcal{D}} \\ \llbracket \neg \varphi \rrbracket_{\sigma}^{\mathcal{D}} &:= \text{True/False} && \text{iff } \llbracket \varphi \rrbracket_{\sigma}^{\mathcal{D}} = \text{False/True} \\ \llbracket \varphi_1 \vee \varphi_2 \rrbracket_{\sigma}^{\mathcal{D}} &:= \text{True} && \text{iff } \llbracket \varphi_1 \rrbracket_{\sigma}^{\mathcal{D}} = \text{True or } \llbracket \varphi_2 \rrbracket_{\sigma}^{\mathcal{D}} = \text{True} \\ \llbracket \exists x \varphi \rrbracket_{\sigma}^{\mathcal{D}} &:= \text{True} && \text{iff } \llbracket \varphi \rrbracket_{\sigma'}^{\mathcal{D}} = \text{True for some } \sigma' \text{ coinciding with } \sigma \text{ except maybe for } x \end{aligned}$$

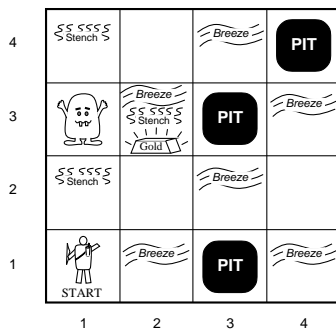
## Models, Validity, etc. for Sets of Sentences

- An interpretation  $(\mathcal{D}, \sigma)$  **satisfies** a set  $\Gamma$  of sentences, or is a **model** for  $\Gamma$ , if it is a model for *every* sentence in  $\Gamma$ .
- A set  $\Gamma$  of sentences is **satisfiable** if it has at least one model.  
*Ex:*  $\{\forall x x \geq 0, \forall x x + 1 > x\}$
- $\Gamma$  is **unsatisfiable**, or **inconsistent**, if it has no models.  
*Ex:*  $\{P(x), \neg P(x)\}$
- As in Propositional Logic,  $\Gamma$  **entails** a sentence  $\varphi$  ( $\Gamma \models \varphi$ ), if every model of  $\Gamma$  is also a model of  $\varphi$ .  
*Ex:*  $\{\forall x P(x) \Rightarrow Q(x), P(A_{10})\} \models Q(A_{10})$
- **Note:** Again,  $\Gamma \models \varphi$  iff  $\Gamma \wedge \neg\varphi$  is unsatisfiable.

## Models, Validity, etc. for Sentences

- An interpretation  $(\mathcal{D}, \sigma)$  **satisfies** a sentence  $\varphi$ , or is a **model** for  $\varphi$ , if  $\llbracket \varphi \rrbracket_{\sigma}^{\mathcal{D}} = \text{True}$ .
- A sentence is **satisfiable** if it has at least one model.  
*Examples:*  $\forall x x \geq y, P(x)$
- A sentence is **unsatisfiable** if it has no models.  
*Examples:*  $P(x) \wedge \neg P(x), \neg(x = x)$
- A sentence  $\varphi$  is **valid** if every interpretation is a model for  $\varphi$ .  
*Examples:*  $P(x) \Rightarrow P(x), x = x$
- $\varphi$  is valid/unsatisfiable iff  $\neg\varphi$  is unsatisfiable/valid.
- Valid sentences do not tell us anything about the world. (They are always true!)

## The Wumpus World in FOL



## Possible Interpretations Semantics

- Sentences can be seen as *constraints* on the set  $S$  of all possible interpretations.
- A sentence *denotes* all the possible interpretations that satisfy it (the models of  $\varphi$ ).  
 If  $\varphi_1$  denotes a set of interpretations  $S_1$  and  $\varphi_2$  denotes a set  $S_2$ , then
  - $\varphi_1 \vee \varphi_2$  denotes  $S_1 \cup S_2$ ,
  - $\varphi_1 \wedge \varphi_2$  denotes  $S_1 \cap S_2$ ,
  - $\neg\varphi_1$  denotes  $S \setminus S_1$ ,
  - $\varphi_1 \models \varphi_2$  iff  $S_1 \subseteq S_2$ .
- A sentence denotes either no interpretations or an infinite number of them!

## Knowledge base for the wumpus world

### “Perception”

$\forall b, g, t \text{ Percept}([Smell, b, g], t) \implies Smelt(t)$

$\forall s, b, t \text{ Percept}([s, b, Glitter], t) \implies AtGold(t)$

**Reflex:**  $\forall t \text{ AtGold}(t) \implies \text{Action}(\text{Grab}, t)$

Reflex with internal state: do we have the gold already?

$\forall t \text{ AtGold}(t) \wedge \neg \text{Holding}(\text{Gold}, t) \implies \text{Action}(\text{Grab}, t)$

$\text{Holding}(\text{Gold}, t)$  cannot be observed

$\implies$  keeping track of change is essential

## Interacting with FOL KBs

Suppose a wumpus-world agent is using an FOL KB and perceives a smell and a breeze (but no glitter) at  $t = 5$ :

$\text{Tell}(\text{KB}, \text{Percept}([Smell, Breeze, None], 5))$

$\text{Ask}(\text{KB}, \exists a \text{ Action}(a, 5))$

I.e., does the KB entail any particular actions at  $t = 5$ ?

Answer: *Yes*,  $\{a/\text{Shoot}\}$   $\leftarrow$  substitution (binding list)

Given a sentence  $S$  and a substitution  $\sigma$ ,  $S\sigma$  denotes the result of plugging  $\sigma$  into  $S$ ; e.g.,

$S = \text{Smarter}(x, y)$

$\sigma = \{x/\text{Hillary}, y/\text{Bill}\}$

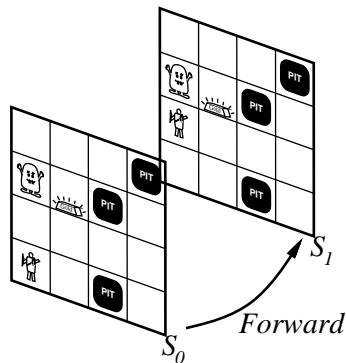
$S\sigma = \text{Smarter}(\text{Hillary}, \text{Bill})$

$\text{Ask}(\text{KB}, S)$  returns some/all  $\sigma$  such that  $\text{KB} \models S\sigma$

## Keeping Track of Change

Facts hold in situations, rather than eternally

E.g.,  $\text{Holding}(\text{Gold}, \text{Now})$  rather than just  $\text{Holding}(\text{Gold})$



## Deducing Hidden Properties

### • Properties of locations:

•  $\forall x, t \text{ At}(\text{Agent}, x, t) \wedge \text{Smelt}(t) \implies \text{Smelly}(x)$

•  $\forall x, t \text{ At}(\text{Agent}, x, t) \wedge \text{Breeze}(t) \implies \text{Breezy}(x)$

### • Squares are breezy near a pit:

• Diagnostic rule—infer cause from effect

$\forall y \text{ Breezy}(y) \implies \exists x \text{ Pit}(x) \wedge \text{Adjacent}(x, y)$

• Causal rule—infer effect from cause

$\forall x, y \text{ Pit}(x) \wedge \text{Adjacent}(x, y) \implies \text{Breezy}(y)$

• Neither of these is complete—e.g., the causal rule doesn't say whether squares far away from pits can be breezy

• Definition for the *Breezy* predicate:

$\forall y \text{ Breezy}(y) \Leftrightarrow [\exists x \text{ Pit}(x) \wedge \text{Adjacent}(x, y)]$

## Describing Actions

- “Effect” axiom—describe changes due to action  
 $\forall s \text{ AtGold}(s) \implies \text{Holding}(\text{Gold}, \text{Result}(\text{Grab}, s))$
- “Frame” axiom—describe non-changes due to action  
 $\forall s \text{ HaveArrow}(s) \implies \text{HaveArrow}(\text{Result}(\text{Grab}, s))$

## Situation Calculus

Situation calculus is one way to represent change in FOL:  
Adds a situation argument to each non-eternal predicate  
E.g., *Now* in  $\text{Holding}(\text{Gold}, \text{Now})$  denotes a situation (or a *time stamp*).

Situations are connected by the *Result* function  
 $\text{Result}(a, s)$  is the situation that results from doing  $a$  in  $s$

## Describing Actions

- Successor-state axioms solve the representational frame problem
- Each axiom is “about” a predicate (not an action per se):  
$$P \text{ true afterwards} \iff [\text{an action made } P \text{ true} \vee P \text{ true already and no action made } P \text{ false}]$$
- Example: For holding the gold:  
$$\forall a, s \text{ Holding}(\text{Gold}, \text{Result}(a, s)) \iff [(a = \text{Grab}) \wedge \text{AtGold}(s) \vee \text{Holding}(\text{Gold}, s) \wedge (a \neq \text{Release})]$$

## Frame, Qualification, and Ramification

- Frame problem: find an elegant way to handle non-change
  - representation—avoid frame axioms
  - inference—avoid repeated “copy-overs” to keep track of state
- Qualification problem: true descriptions of real actions require endless caveats—what if gold is slippery or nailed down or ...
- Ramification problem: real actions have many secondary consequences—what about the dust on the gold, wear and tear on gloves, ...

## Making plans: A better way

- Represent plans as action sequences  $[a_1, a_2, \dots, a_n]$
- $PlanResult(p, s)$  is the result of executing  $p$  in  $s$
- Then the query  
 $Ask(KB, \exists p \text{ Holding}(Gold, PlanResult(p, S_0)))$   
has the solution  $\{p/[Forward, Grab]\}$
- Definition of  $PlanResult$  in terms of  $Result$ :  
 $\forall s \text{ PlanResult}([], s) = s$   
 $\forall a, p, s \text{ PlanResult}([a|p], s) = PlanResult(p, Result(a, s))$
- Planning systems are special-purpose reasoners designed to do this type of inference more efficiently than a general-purpose reasoner

## Making Plans

- Initial condition in KB:  
 $At(Agent, [1, 1], S_0)$   
 $At(Gold, [1, 2], S_0)$
- Query:  $Ask(KB, \exists s \text{ Holding}(Gold, s))$   
i.e., in what situation will I be holding the gold?
- Answer:  $\{s/Result(Grab, Result(Forward, S_0))\}$   
i.e., go forward and then grab the gold
- This assumes that the agent is interested in plans starting at  $S_0$  and that  $S_0$  is the only situation described in the KB

## Summary

- First-order logic:
  - objects and relations are semantic primitives
  - syntax: constants, functions, predicates, equality, quantifiers
- Increased expressive power: sufficient to define wumpus world
- Situation calculus:
  - conventions for describing actions and change in FOL
  - can formulate planning as inference on a situation calculus KB